

ICI President and CEO Comments on Retirement of Richard Ketchum

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Washington, DC, October 30, 2015—ICI President and CEO Paul Schott Stevens made the following statement in response to the announcement that Richard Ketchum, chairman and CEO of the Financial Industry Regulatory Authority (FINRA), plans to retire in the second half of 2016:

“Rick Ketchum has enjoyed a long and distinguished career in public service, as an advocate for investors and for strong, efficient capital markets. He has provided invaluable leadership in numerous senior roles at the SEC, NYSE, FINRA, and elsewhere. America’s investors are in his debt, and we wish him well in all his future endeavors.”